The Top quark







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LIP Lisbon

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- Introduction
- Discovery of the Top quark
- Object reconstruction
- Decay and production
- Cross section measurements



Introduction

- Discovery
- introduction to the top quark

1974

With the discovery of the J/Ψ :

quarks

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix}$$

leptons

$$\begin{pmatrix} v_e \\ e \end{pmatrix} \begin{pmatrix} v_\mu \\ \mu \end{pmatrix}$$

1975-1977

- Tau (τ) lepton in Mark I data (v_{τ}) from the decay $\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix}$ kinematics)

Discovery of the Y at Fermilab

$$\left(egin{array}{c} v_e \\ e \end{array}
ight) \, \left(egin{array}{c} v_\mu \\ \mu \end{array}
ight) \, \left(egin{array}{c} v_ au \\ au \end{array}
ight)$$

- b: non SM? iso-singlet? SM iso-doublet?
- 1984: DESY measurement of e⁺e⁻→bb FB asymmetry: (22.5 ± 6.5)% - cf. 25.2% SM iso-doublet, 0% iso-singlet
- If SM is correct there must be a iso-doublet partner, the top quark
- Mass? b/c/s 4.5/1.5/0.5: Mass=15 GeV?

The theory: Why?

- The SM is not a "renormalizable" gauge theory in the absence of the top quark
- Renormalizability is a crucial feature, enabling the SM to be theoretically consistent and be usable as a tool to compute the rate of subnuclear processes between quarks, leptons, and gauge bosons
- Diagrams containing so-called "triangle anomalies" $^{I_{3A}}$ (right), cancel their contributions, thus avoid breaking the renormalizability of the SM, only if the sum of electric charges of all fermions circulating in the triangular loop is zero: $\Sigma Q = -1 + 3 \times [2/3 + (-1/3)] = 0$

lepton electric charge quark (up/down) charge

Searches in e⁺e⁻ collisions

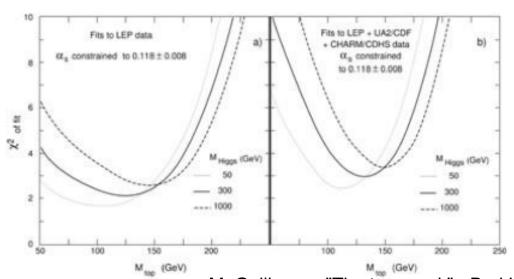
- PETRA could reach ~20 GeV (late '70s)
 - -Search for narrow resonance
 - Look for increase in R=(# of hadron events)/(# of μμ events)
 - Global event characteristics: look for spherical component
 - Negative results. Set limits: M_t>23 GeV
- TRISTAN (~30 GeV) built to study the top quark (early '80s)
 - Similar search technique:
 - $-M_t>30 \text{ GeV}$
- SLC/LEP
 - -Look for Z→tt̄
 - -M_t>45 GeV
- Reached kinematic limit for direct searches at e⁺e⁻ colliders

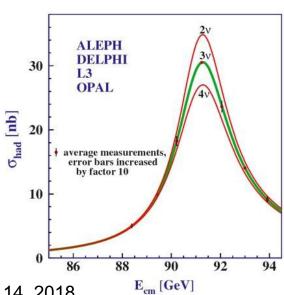
Indirect searches from e⁺e⁻ colliders

In the SM, various EWK observables depend on the mass of the top quark



- Precision measurements of the EWK parameters, allow to measure virtual corrections with sufficient precision to put constraints on M_{top}
 - Prediction upper limit<200-220 GeV





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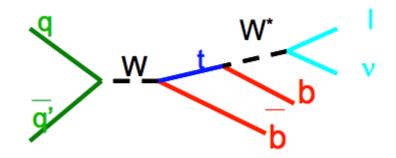
Early searches at hadron colliders

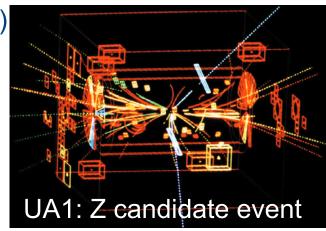
CERN SppS (√s=540 GeV) built to observe W,Z

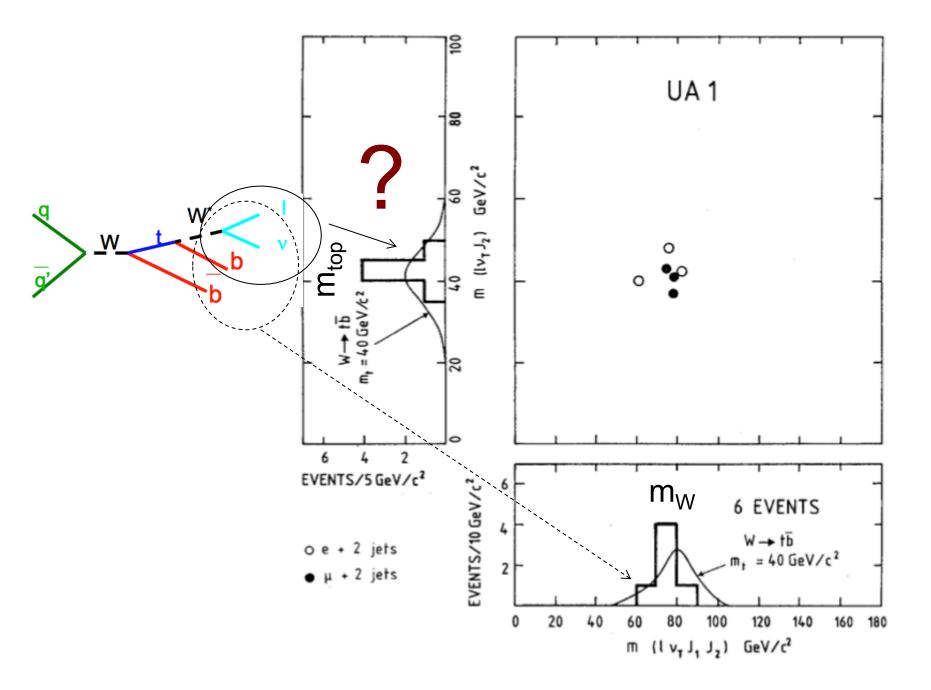
- Access to much higher energies
- Large backgrounds, low event rates
- Difficult reconstruction: jets

1984: UA1

- W→tb→lvbb
- Isolated high-p_T lepton
- 2 or 3 hadronic jets
- Observe 5 events (e+ ≥2 jets), 4 events (μ+ ≥2 jets)
- Expected background: 0.2 events
 - Fake leptons dominate; bbar/ccbar negligible
- Result consistent with M_{top}=40±10 GeV
- Stop before claiming discovery...
 - ⇒W+jet background was underestimated



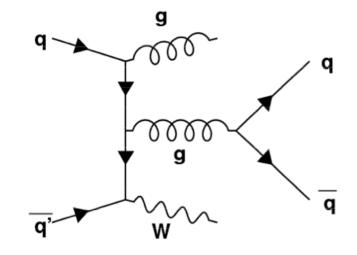




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Searches at hadron colliders

- 1988 UA1
- Larger data sample (x6, total of 600nb⁻¹)
- Improved understanding of the backgrounds
- Fake leptons, W+jets, DY, J/Ψ, bbar/ccbar



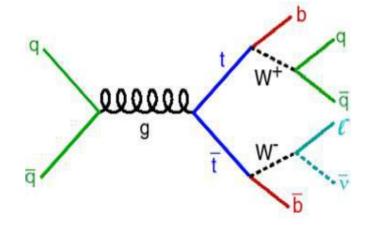
| <u>channel</u> | <u>observed</u> | expected background |
|--------------------|---|-------------------------------|
| $\mu + \ge 2$ jets | 10 events | 11.5 ± 1.5 events |
| $e + \ge 1$ jets | 26 events | $23.4 \pm 2.8 \text{ events}$ |
| | $(+23 \text{ expected if } \mathbf{M}_{\text{top}} = 40 \text{ GeV})$ | |

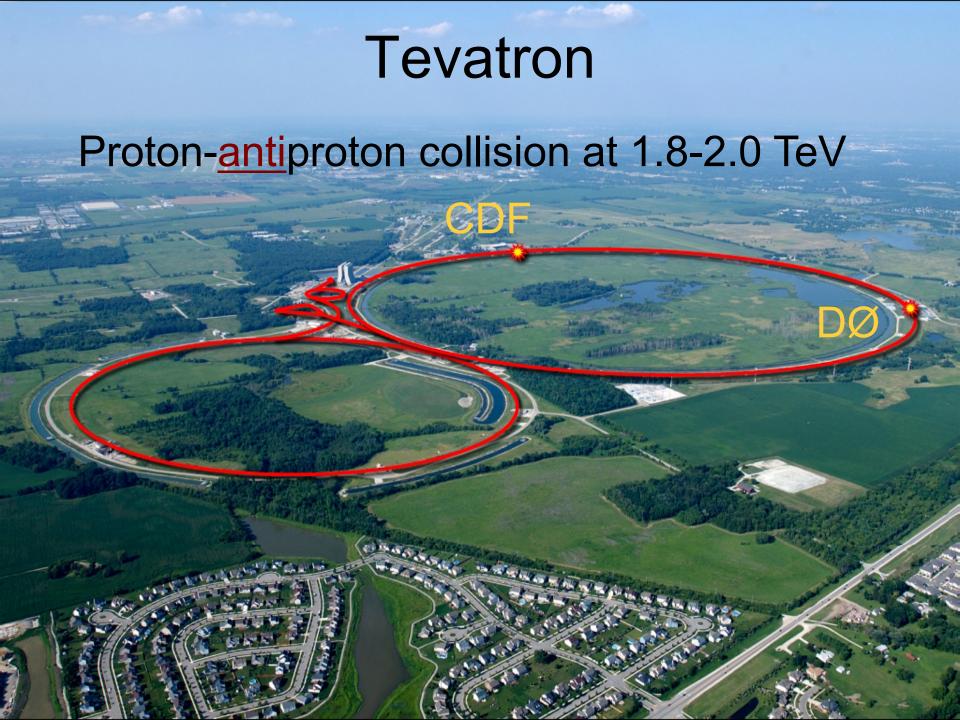
⇒conclude M_{top}>44 GeV

Fermilab joins the hunt

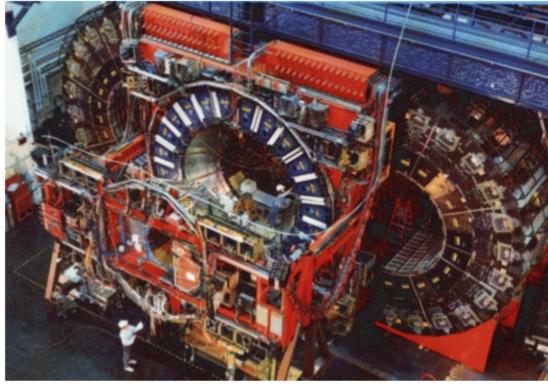
- 1988-89: at CERN, UA2 remains after the upgrades
- √1.8 TeV@Fermilab vs. √0.63 TeV@CERN
- Much better reach for larger mass (only 75 GeV@UA2)
- At Tevatron, pair production dominates: tt→ Wb Wb

| % | ev | μν | τν | qq^- |
|-----------------|-----|-----|-----|--------|
| ev | 1.2 | 2.5 | 2.5 | 14.8 |
| μν | | 1.2 | 2.5 | 14.8 |
| τν | | | 1.2 | 14.8 |
| $q\overline{q}$ | | | | 44.4 |







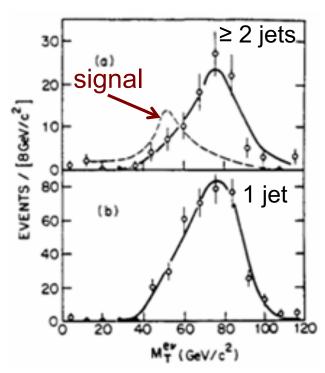


Searches at CDF

ev+ ≥2 jets

- Dominant background: W+jets
- Discriminant: ev transverse mass
 - Background: W on-shell
 - Signal: W off-shell for M_{top} =40-80 GeV

$$\Rightarrow$$
M_{top}>77 GeV



UA2 uses similar technique: M_{top}>69 GeV

Searches at CDF (cont.)

eμ channel

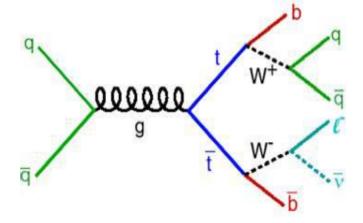
- Event rate much lower: 2xBR(W→ev)
- Background very small (no W+jets, no Drell-Yan)
- Dominant background is Z→ττ→eμX (expect 1 event)
- Observe 1 event

 \Rightarrow M_{top}>72 GeV (expect 7 events for M_{top}=70 GeV)

Change of strategy: M_{top}>M_b+M_W

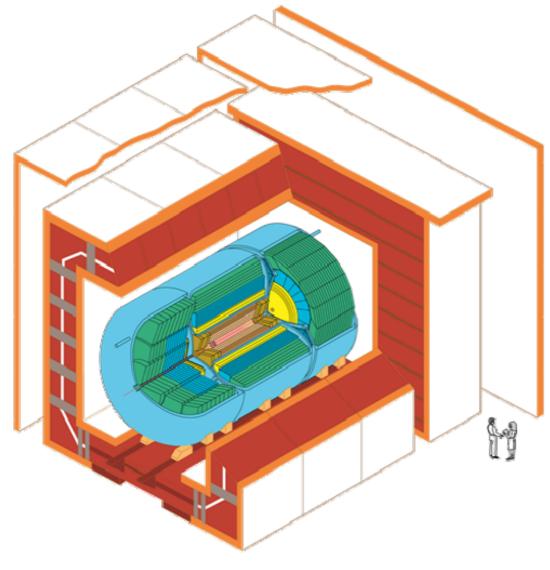
- Top quark decays to on-shell Ws: no $M_T(Iv)$ discriminant
- Main differences:
 - background: W+jets (largely quarks and gluons)
 - signal: W+jets (2 jets are b-jets)
- CDF publication on 88-89 data:
 - Dilepton: include ee, μμ, eμ (require missing ET, Z-veto)
 - Single lepton: require low p_T muon (semi-leptonic b-decays)

$$\Rightarrow$$
 M_{top}>91 GeV



19 countries 83 institutions, 664 physicists

D0 joins the hunt



DØ Detector

Searches at Tevatron: CDF and D0

1992-1995

- Tevatron with higher luminosity
- D0: excellent calorimetry, large solid angle and coverage
- CDF: precision vertex detector, good tracker, magnetic spectrometer

Run 1A:

D0: optimized search for M_{top}=100 GeV

```
-e\mu+≥1jet+MET 1 evt (1.1 bkg)

-ee+≥1jet+MET 1 (0.5)

-e+≥4jets+MET 1 (2.7)

-\mu+≥4jets+MET 0 (1.6)
```

 \rightarrow M_{top}>131 GeV@95%CL

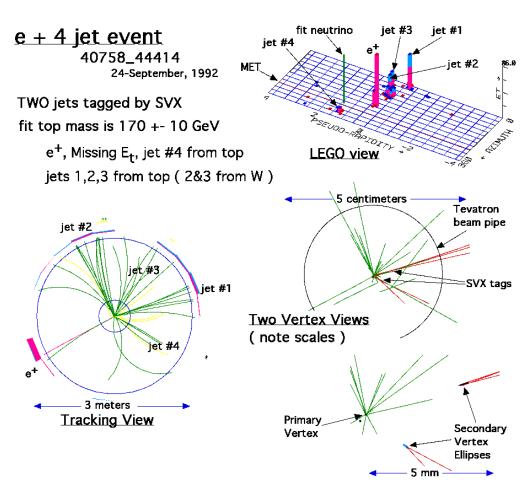
Detecting the top quark at CDF

Strategy

- dilepton: +2 jets
- single lepton: b-tagging
 - 1) soft e/μ: semi-leptonic b-decay
 - 2) secondary vertex

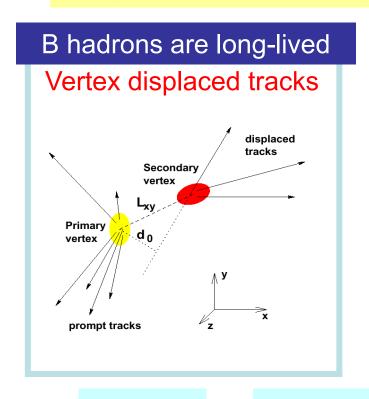


New: CDF vertex detector (SVX) (40 μm impact parameter resolution) powerful discriminant against background



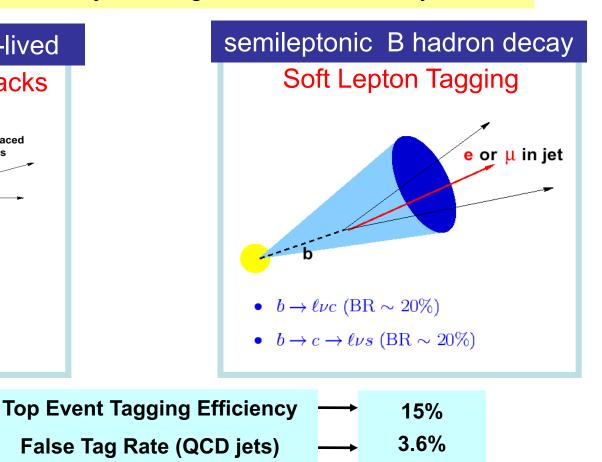
Tagging b-jets

- Top events contain B hadrons
- Only 1-2% of dominant W+jets background contains heavy flavor



55%

0.5%



1993

Coll. Meeting, Aug. 1993:

- Status report from each group (dilepton, single lepton)
- Small, not significant excess in all channels

| Type | observed | background | |
|-------|-----------|--|-------------|
| DIL | 2 events | 0.56 ^{+0.25} _{-0.13} | |
| SVX | 6 tags | 2.3 ± 0.3 | 3 events in |
| SLT | 7 tags | 3.1 ± 0.3 | common |
| total | 12 events | | |

- In total, an excess of events
- Background fluctuation probability: 2.8σ
- Skepticism, additional studies, cross-checks
- Additional 8 months before making the results public

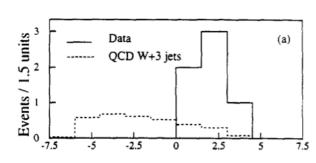
Final steps: CDF and D0

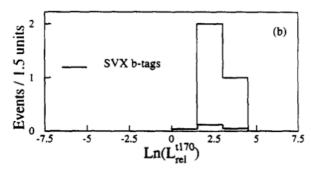
CDF: counting experiment yields 2.8o

- Few checks: no major discrepancy
- Other checks consistent with presence of signal
- Mass distribution looked good
- There were also other analyses at CDF
 - Difference of jet E_T spectra for signal and bkg
 - Separate two component for signal and bkg
 - CDF chose not to use those for first publication
- Use "counting" experiment



- Observed 7 events (expect 4-6 from bkg)
- No independent evidence





First evidence (1994)

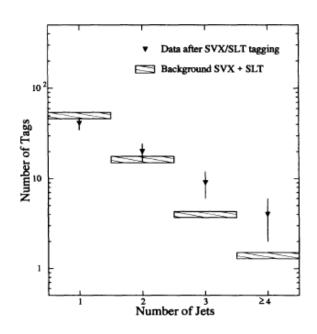
VOLUME 73, NUMBER 2

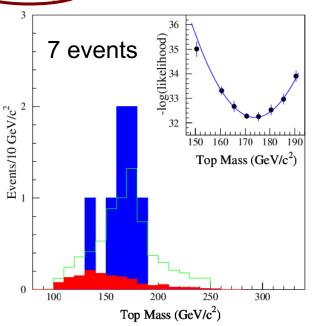
PHYSICAL REVIEW LETTERS

11 JULY 1994

Evidence for Top Quark Production in $\bar{p}p$ Collisions at $\sqrt{s}=1.8~{\rm TeV}$

We summarize a search for the top quark with the Collider Detector at Fermilab (CDF) in a sample of $\bar{p}p$ collisions at $\sqrt{s} = 1.8$ TeV with an integrated luminosity of 19.3 pb⁻¹. We find 12 events consistent with either two W bosons, or a W boson and at least one b jet. The probability that the measured yield is consistent with the background is 0.26%. Though the statistics are too limited to establish firmly the existence of the top quark, a natural interpretation of the excess is that it is due to $t\bar{t}$ production. Under this assumption, constrained fits to individual events yield a top quark mass of $174 \pm 10 \pm 13$ GeV/ c^2 . The $t\bar{t}$ production cross section is measured to be 13.9 ± 4.8 pb.





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First measurements

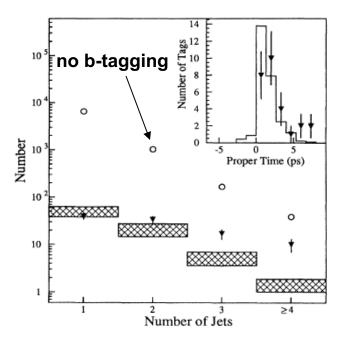
VOLUME 74, NUMBER 14

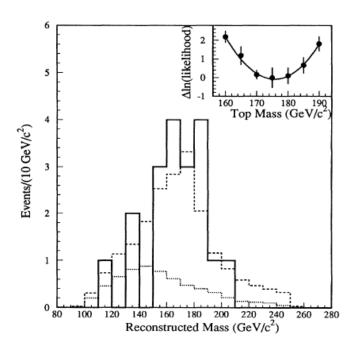
PHYSICAL REVIEW LETTERS

3 April 1995

Observation of Top Quark Production in $\overline{p}p$ Collisions with the Collider Detector at Fermilab

We establish the existence of the top quark using a 67 pb⁻¹ data sample of $\overline{p}p$ collisions at $\sqrt{s} = 1.8$ TeV collected with the Collider Detector at Fermilab (CDF). Employing techniques similar to those we previously published, we observe a signal consistent with $t\bar{t}$ decay to $WWb\bar{b}$, but inconsistent with the background prediction by 4.8σ . Additional evidence for the top quark is provided by a peak in the reconstructed mass distribution. We measure the top quark mass to be $176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}/c^2$, and the $t\bar{t}$ production cross section to be $6.8^{+3.6}_{-2.4}$ pb





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First measurements

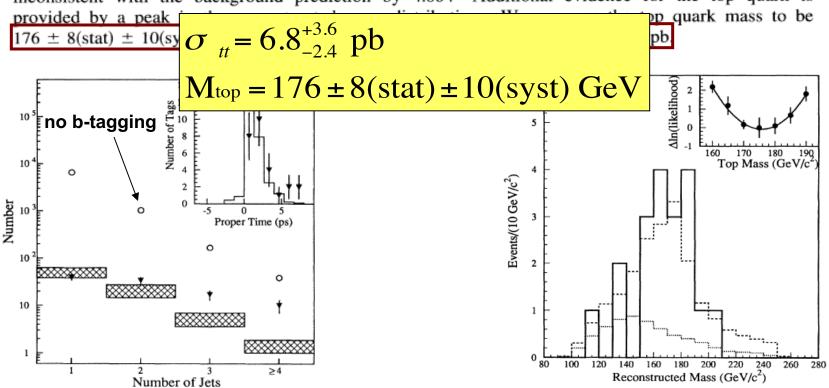
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First measurements

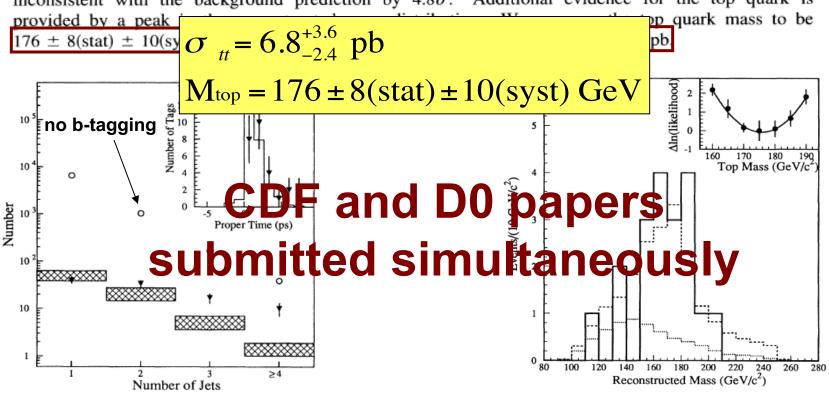
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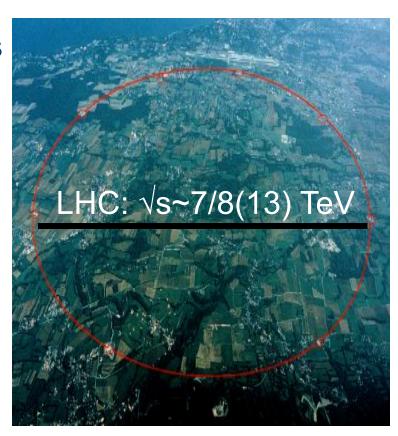
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Top quark and its relevance

Three generations of matter (fermions) Ш Ш 1.27 GeV/c² 171.2 GeV/c2 2.4 MeV/c2 ? GeV/c2 mass chargespin-Higgs Basics photon charm top up nameboson How to detect the top quark 104 MeV/c² 4.8 MeV/c2 4.2 GeV/c² Quarks Tevatron vs LHC gluon down strange bottom <0.17 MeV/c2 <15.5 MeV/c2 91.2 GeV/c2 <2.2 eV/c2 electron muon Z boson neutrino neutrino neutrino Sauge bosons 1.777 GeV/c2 0.511 MeV/c² 105.7 MeV/c² 80.4 GeV/c² eptons electron W boson muon tau

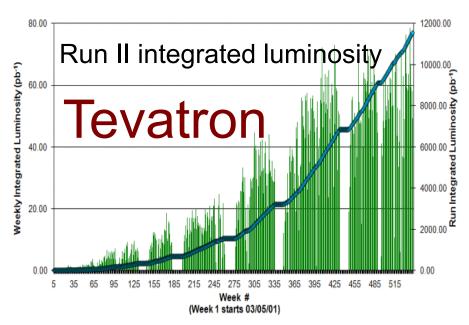
The Large Hadron Collider

- Built to explore new energy frontiers
 - First colliding beams in 2009
 - started with "low" luminosity in 2010
 - -~5 fb⁻¹@7TeV delivered in 2011
 - -~20 fb⁻¹@8TeV in 2012
 - -~2 fb⁻¹@13TeV in 2015
 - -~36fb⁻¹@13 TeV in 2016
- re-establish SM measurements
- access to new physics processes



⇒ Top quarks give access to SM and BSM (?)

Tevatron vs LHC



Energy: 1.96 TeV

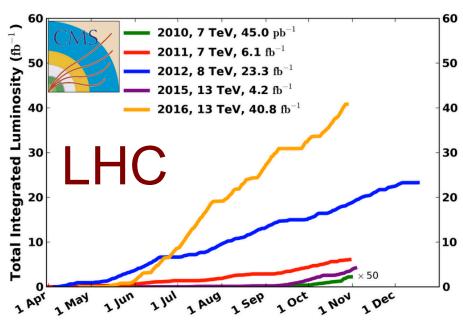
Int. Luminosity: 12 fb⁻¹

Age: ~25 years

Events/exp (1 fb⁻¹)

400 ee eμ, μμ

3.5k lepton + jets



Energy: 7/8/(13) TeV

Int. Luminosity: 5/20/(40) fb⁻¹

Age: ~8 years

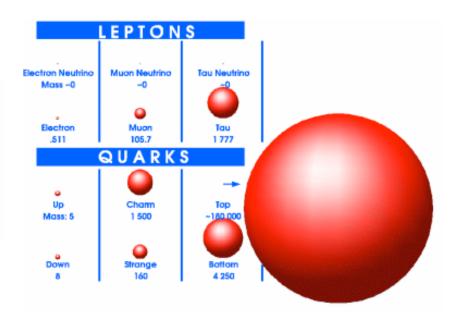
Events/exp (1 fb⁻¹)

40k ee eμ, μμ

350k lepton + jets

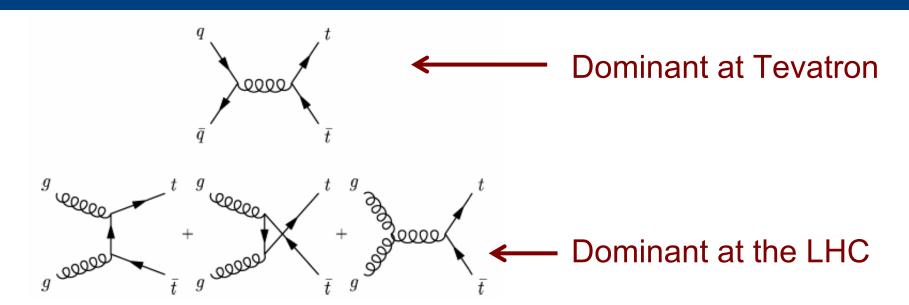
What is the Top quark?

Quarks:
$$\begin{pmatrix} u \\ d \end{pmatrix}$$
 $\begin{pmatrix} c \\ s \end{pmatrix}$ $\begin{pmatrix} t \\ b \end{pmatrix}$
Leptons: $\begin{pmatrix} \nu_e \\ e \end{pmatrix}$ $\begin{pmatrix} \nu_{\mu} \\ \mu \end{pmatrix}$ $\begin{pmatrix} \nu_{\tau} \\ \tau \end{pmatrix}$

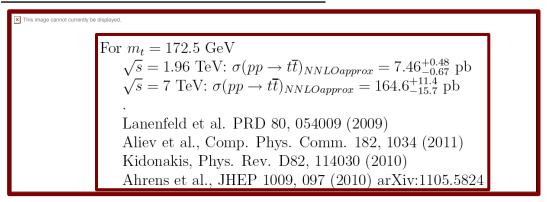


- It is the heaviest fundamental particle
 - $-M_{top} = 174.3 \pm 0.6 \text{ GeV}$ (arXiv:1407.2682)
- Weak isospin partner of the b-quark
- Completes the SM of quarks and leptons

How is the top quark produced?



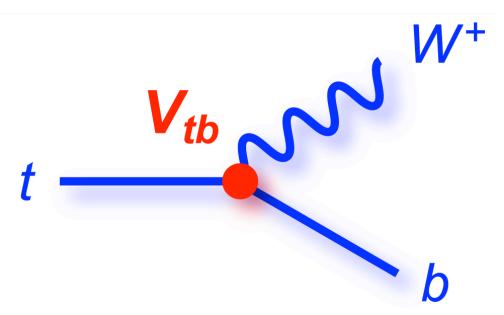
Predicted cross sections:



| | LHC | Tevatron |
|----|------|----------|
| gg | ~85% | ~10% |
| qq | ~15% | ~90% |

Czakon et al. PRL 110, 252004 (2013)

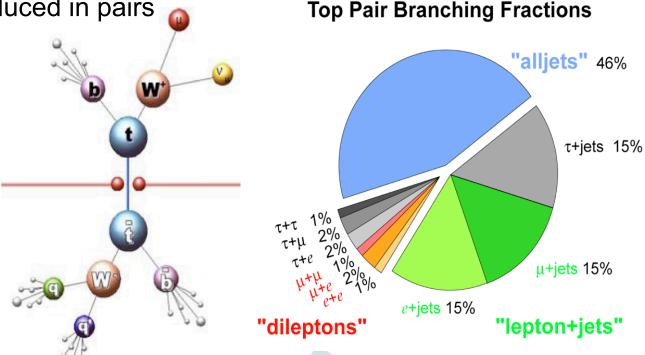
How does a top quark decay?



- almost always t→Wb (i.e. V_{tb}~1)
- lifetime is short, and it decays before hadronizing
- the W is real:
 - can decay W→I_V (I=e,μ,τ), BR~1/9 per lepton
 - can decay W→qq, BR~2/3

Top quark decays

Top quarks (mostly) produced in pairs



Secondary vertex

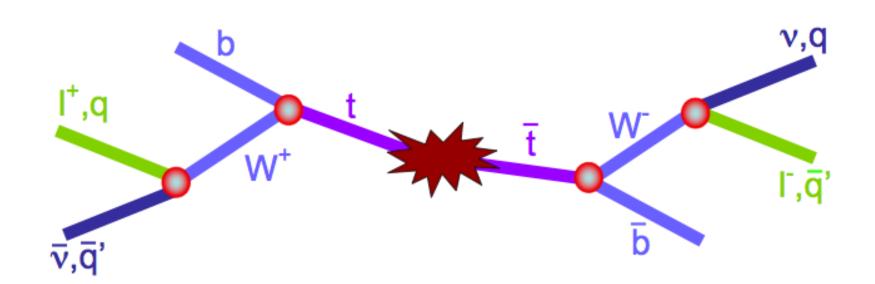
- Dilepton (ee, μμ, eμ):
 - BR~5%, 2 leptons+2 b-jets+2 neutring
- Lepton (e or μ) + jets
 - BR~30%, one lepton+4jets (2 from b)neutrino
- All hadronic
 - BR~44%, 6 jets (2 from b), no neutrino

b-jets always present b-jet reconstruction plays important role

Prompt tracks

Displaced tracks

Interesting physics with Top quark



PRODUCTION

Cross section
Resonances X→tt
Fourth generation t'
Spin-correlations
New physics (SUSY)
Flavour physics (FCNC)

...

PROPERTIES

Mass
Kinematics
Charge
Lifetime and width
W helicity
Spin

...

DECAY

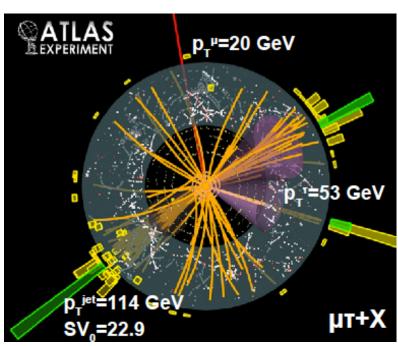
Branching ratios
Charged Higgs (non-SM)
Anomalous couplings
Rare decays
CKM matrix elements
Calibration sample @LHC

...

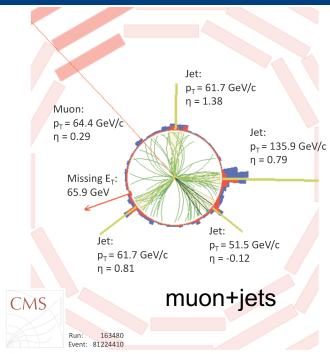
Particle identification

Object identification and reconstruction

Selection of top quark events



- Trigger:
 - single or double (isolated) lepton
- Leptons:
 - $-e/\mu$, p_T>20/30 GeV, $|\eta|$ <2.5
 - Identification/reconstruction
 - Tracker/calorimeter isolation

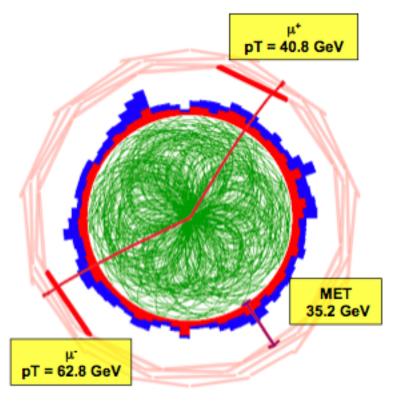


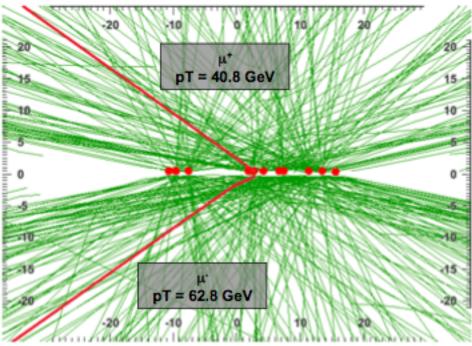
- Jets:
 - at least 2 jets, p_T >30 GeV, $|\eta|$ <2.5
 - anti-kT algorithm, with cone 0.4-0.5
 - b-tagging is optional
- Missing transverse energy:
 - Typically require 30-40 GeV

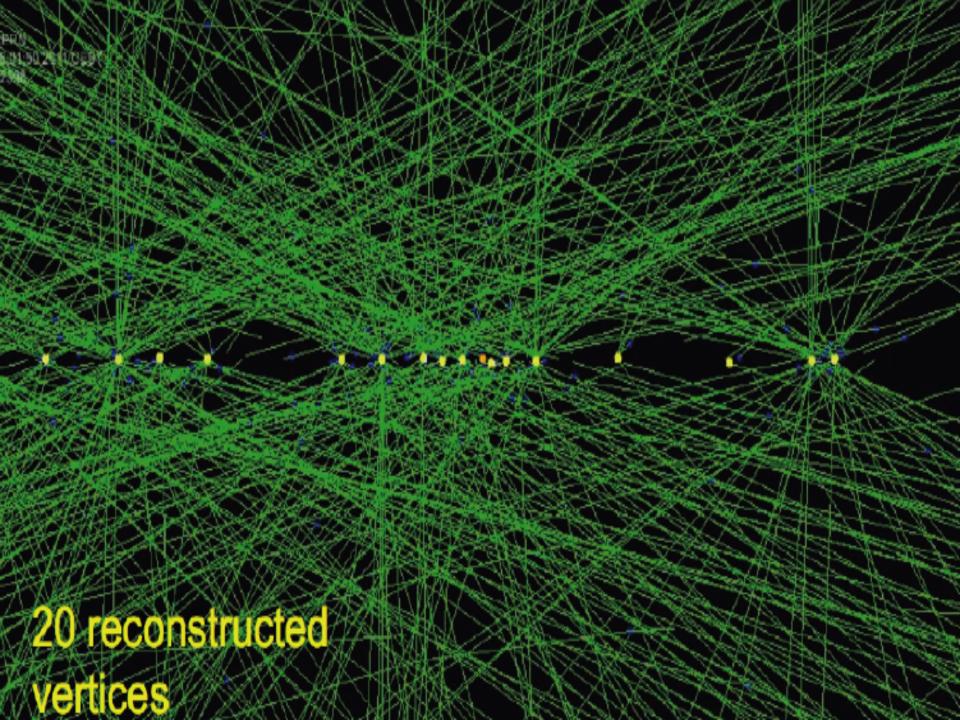
Challenge: Pile-up

 $Z \rightarrow \mu \mu$ Expected MET = 0

10 in-time + 10 out – of – time pileup

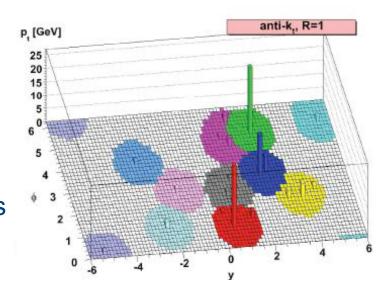






Jet reconstruction

- A "jet" is a cluster of energy deposited in a "small" η-φ region of the detector
 - It is not a unique object, it is defined by the jet algorithm (different choices yield different jets)
- The jet algorithm uses detector reconstructed objects (clusters, tracks, combined objects)
- It is "safe" to higher order effects when it does not change jet quantities
- Efficient and pure: jets correspond to partons



Missing transverse momentum

- Neutrinos (and "dark matter") escape the detector without detection
 - Also longitudinal momentum and energy of other final state particles escape undetected (along the beam-pipe)
 - Momentum is not measured along the z-direction
 - Missing momentum along z is unknown
- The momentum of the neutrinos can be reconstructed in the transverse plane
- Momentum which is missing to balance the total momentum to zero

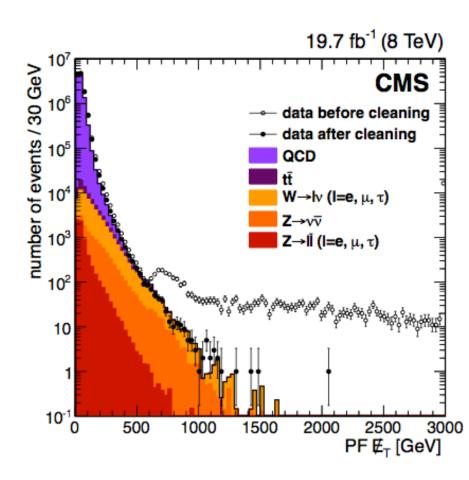
transverse energy vector

$$extbf{\emph{E}}_{T}^{ ext{miss}} = -\sum_{i} extbf{\emph{p}}_{T}(i)$$

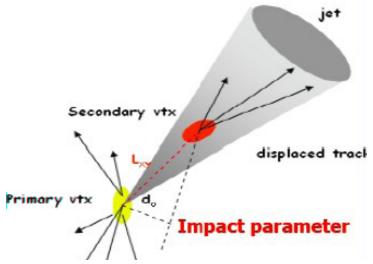
where the sum runs over the transverse momenta of all visible final state particles.

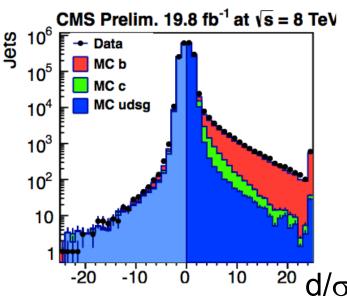
Challenge: MET

- Performance of the MET measurement depends on the measurement of ALL particles in the event
- Measurement is affected by:
 - Noise, mis-calibration, various calorimeter problems (dead channels, etc)
 - Modeling of QCD background events, pile-up, multiple interactions, ...
 - Muon momentum measurement (muons inside jets)
 - Cosmic background events
 - Beam halo (i.e. collisions upstream of detector, parallel to beam)
- MET significance



Challenge: b-tagging



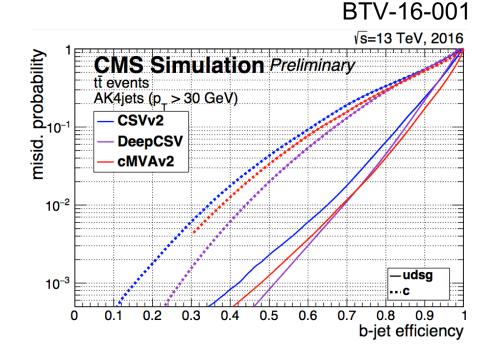


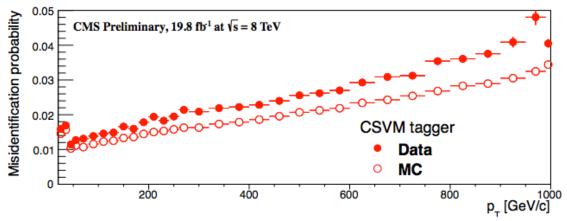
- •Lifetime: τ_b~1-2 psec
- Reduction of background obtained by identifying jets from b-quarks
- Two methods:
 - Secondary vertex tagging
 - Semileptonic decays of b-hadrons in jets ($b \rightarrow \ell \nu_{\ell} X$)



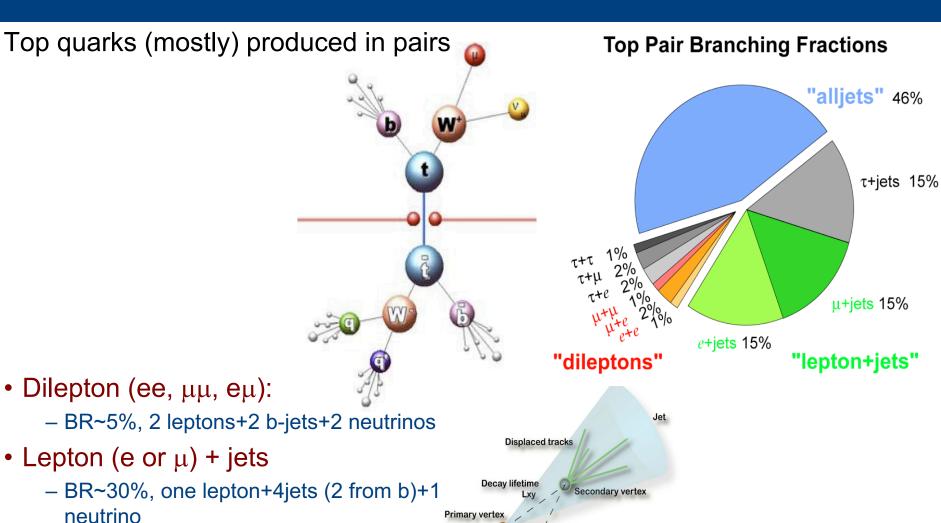
b-tag: fake rates and efficiencies

- b-tag optimization: trade-off between fake rate and efficiency
- studied the performance of different tagging working points
- Uncertainty on data/MC scale factor, depending on algorithms





Top quark decays



All hadronic

- BR~44%, 6 jets (2 from b), no neutrinos

b-jets always present b-jet reconstruction plays important role

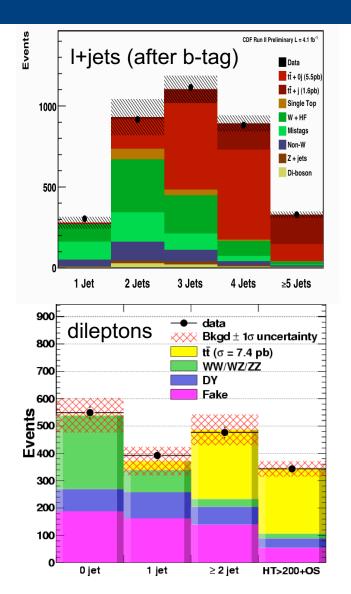
Prompt tracks

Measurements

Measurement of the cross section

Top quark events

- LHC@13TeV cross section ~100 times larger than Tevatron
- select ttbar events at LHC:
 - understand/calibrate detector
 - -measure properties
- event selection includes SM control events
- ttbar final state is complex (ie not mass peak)
- Top quarks and new physics:
 - ttbar sample may contain new physics
 - look at jet multiplicity bins (since ttbar is background e.g. for SUSY), or other variables



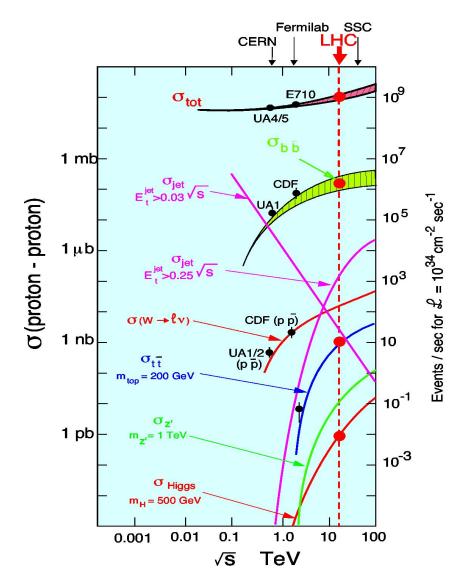
Theory cross sections: TeV vs LHC

| Collider | $\sigma_{ m tot}$ [pb] | scales [pb] | PDF [pb] |
|------------|------------------------|------------------------------|------------------------------|
| Tevatron | 7.164 | +0.110(1.5%) -0.200(2.8%) | +0.169(2.4%) -0.122(1.7%) |
| LHC 7 TeV | 172.0 | +4.4(2.6%) -5.8(3.4%) | +4.7(2.7%) -4.8(2.8%) |
| LHC 8 TeV | 245.8 | +6.2(2.5%) -8.4(3.4%) | +6.2(2.5%) -6.4(2.6%) |
| LHC 14 TeV | 953.6 | +22.7(2.4%) -33.9(3.6%) | +16.2(1.7%) -17.8(1.9%) |

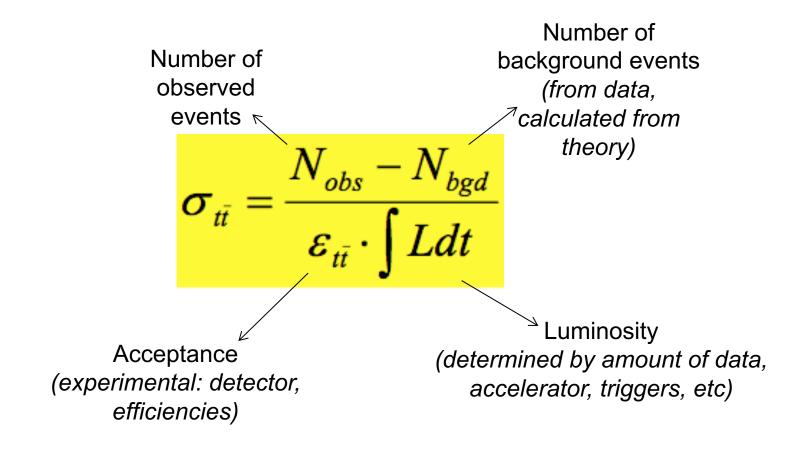
Including NNLO+NNLL approximations PRL 110, 252004 (2013) (M. Czakon et al.)

Top cross section at 7/8 vs 13 TeV

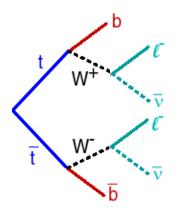
- LHC collisions started at 7/8 TeV
- LHC design is at 14 TeV
- Top cross section drops faster than background processes at lower sqrt{s}
 - $top \sigma(7TeV) = 172 pb$
 - $\text{top } \sigma(8\text{TeV}) = 246 \text{ pb}$
 - $top \sigma(13TeV) = 832 pb$
- Background is more "flat"



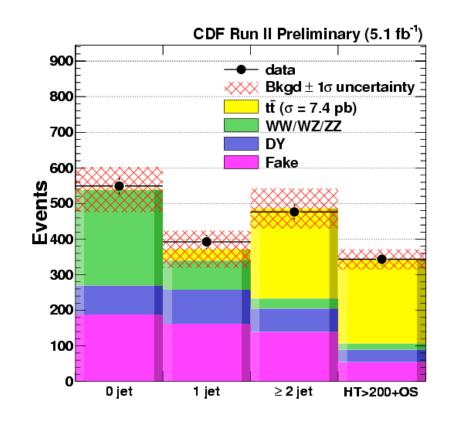
Cross section measurement



Dilepton channel



- Branching ratio (BR) ~5%
- Background: small
- Clean final state
 - two leptons + ≥2 jets + MET
 - kinematic variables
- Signal visible w/without b-tagging
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



Cross section: multi-dimensional fit

CMS-TOP-13-004



- Keep selection as inclusive as possible
- Re-calibrate in-situ (ε_b , ...)

4000 E-0 add. jets

3500 3000

2500 2000

1500 1000

500

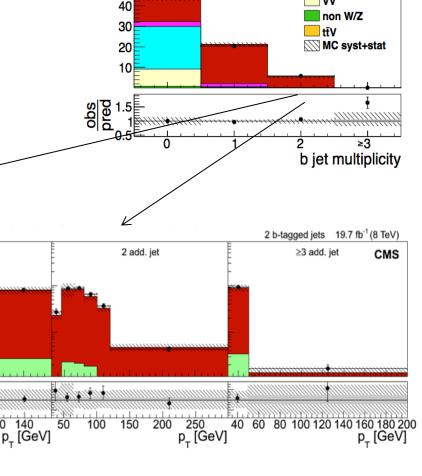
Categorize: high-purity vs background dominated

 Constrain systematics (JES, ISR/FSR, modeling, etc)

signal

background

MC syst+stat



×10³

70 ECMS

Events

1 add. jet

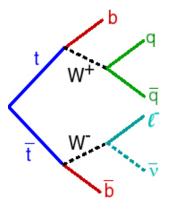
Events/GeV

19.7 fb⁻¹ (8 TeV)

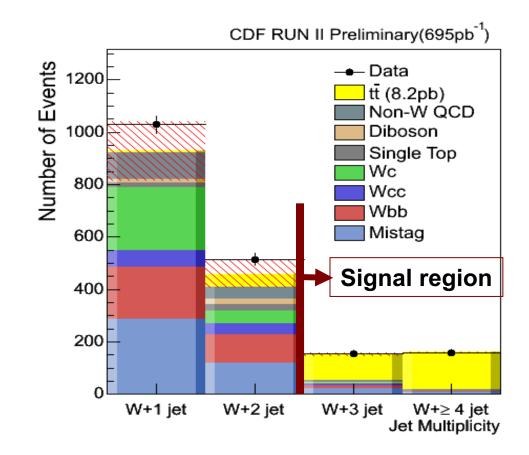
data

tW/ŧW

Lepton + jets

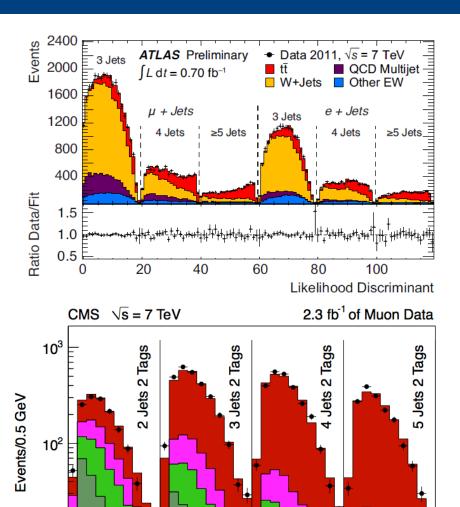


- BR ~30%
- Background: moderate
- Selection:
 - one lepton + ≥3 jets + MET
 - may require b-tag



- Main backgrounds:
 - hadronic multi-jet, W+jets

Lepton + jets channel (cont.)



10

5 0

Use kinematics to select ttbar

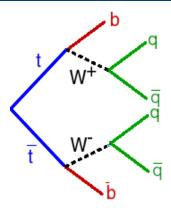
- mass of sec. vertex
- -topology, etc.

Categorize events and extract σ_{tt} from fit

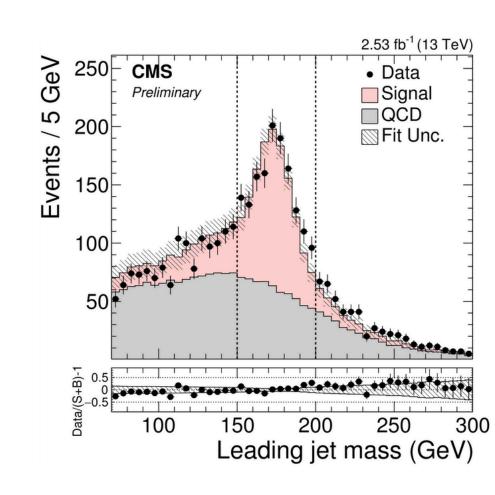
W+b-jets

5 0 5 0 5 Secondary Vertex Mass (GeV)

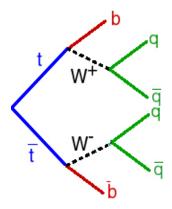
All hadronic



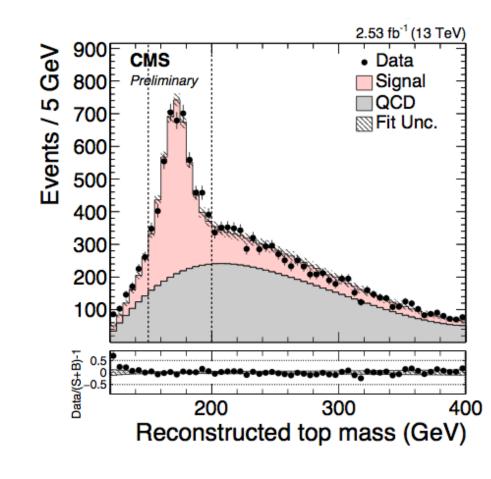
- BR ~46%
- Background: large
- Selection:
 - ≥6 jets + kinematical selection
 - require b-tag
- Main backgrounds:
 - hadronic multi-jet



All hadronic

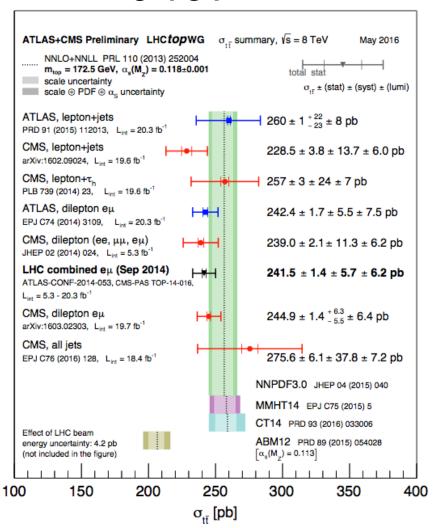


- BR ~46%
- Background: large
- Selection:
 - ≥6 jets + kinematical selection
 - require b-tag
- Main backgrounds:
 - hadronic multi-jet
 - same selection without b-tag

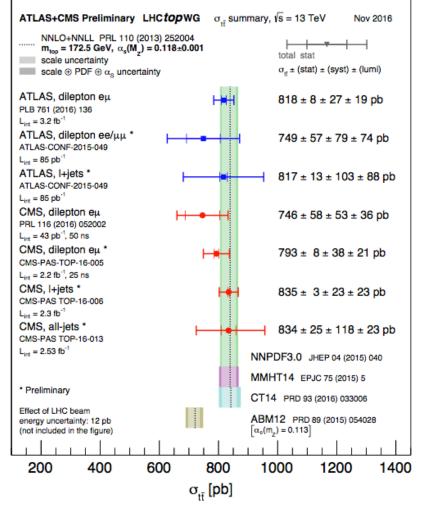


LHC cross section measurements



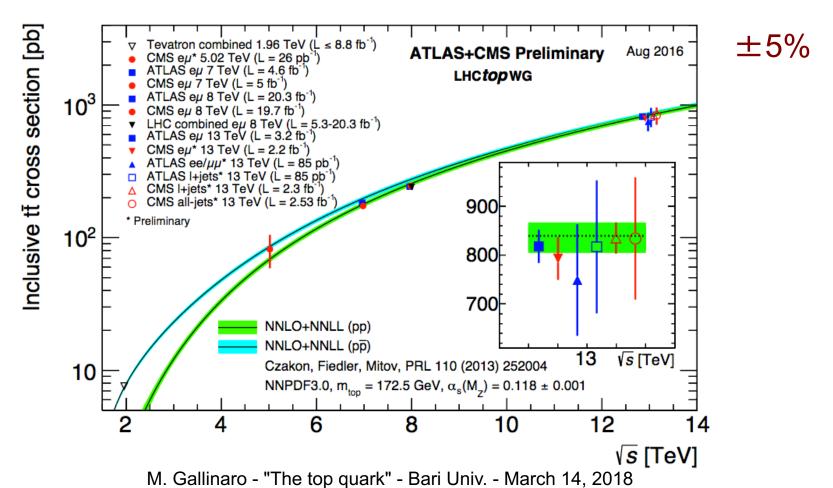


13 TeV



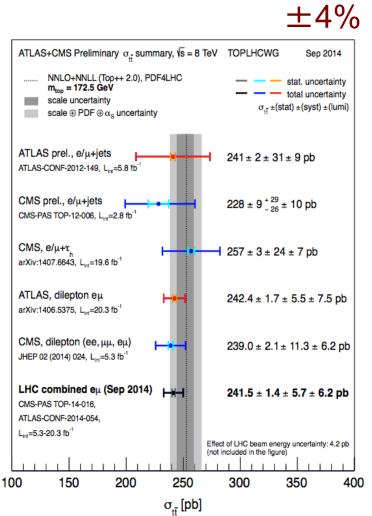
Cross sections

- Cross section measurements provide test of pQCD predictions
- Standard "candle": ttbar is a dominant background for NP searches
- Comparison in different channels may provide constraints on BSM

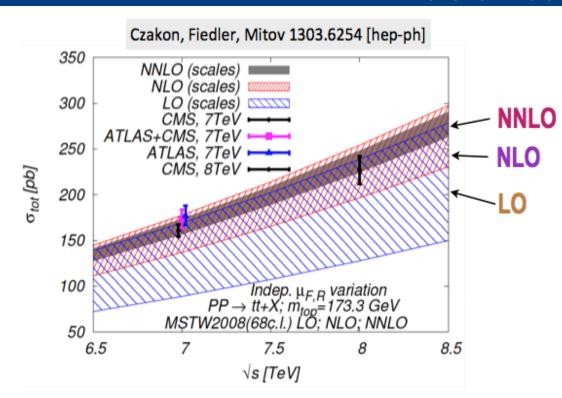


Cross sections (cont.)

CMS-TOP-14-016



⇒meas. challenging the theory

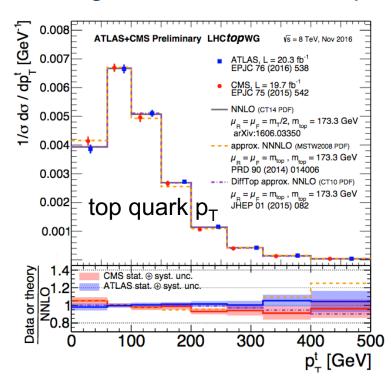


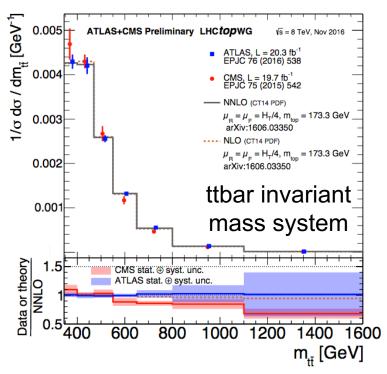
| Collider | $\sigma_{ m tot} \; [m pb]$ | scales [pb] | pdf [pb] | |
|------------|------------------------------|------------------------------|------------------------------|----------|
| Tevatron | 7.164 | +0.110(1.5%) -0.200(2.8%) | +0.169(2.4%) -0.122(1.7%) | ±3-5% |
| LHC 7 TeV | 172.0 | +4.4(2.6%) -5.8(3.4%) | +4.7(2.7%) -4.8(2.8%) | <u> </u> |
| LHC 8 TeV | 245.8 | +6.2(2.5%) -8.4(3.4%) | +6.2(2.5%) -6.4(2.6%) | |
| LHC 14 TeV | 953.6 | +22.7(2.4%) -33.9(3.6%) | +16.2(1.7%) -17.8(1.9%) | |

Differential cross section

- Measure differential cross section
 - Test perturbative QCD
 - Test BSM scenarios (Z' decays, etc) with narrow resonance

- $\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$
- Cross sections measured as a function of p_T , η , invariant mass of the final state leptons, top quarks, and the ttbar system
- Good agreement found in dilepton and lepton+jet channels



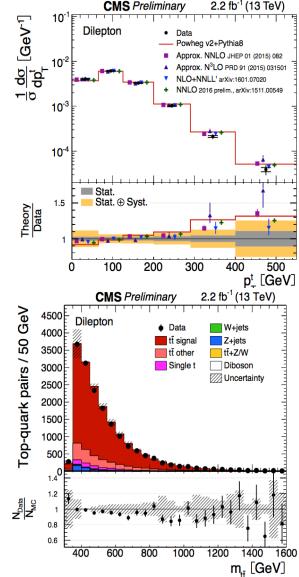


M. Gallinaro - "The top quark" - Bari Univ. - March 14, 2018

Differential cross section (cont.)

EPJC 73(2013) 2339, CMS-TOP-12-027, TOP-15-013, TOP-16-011, arXiv:1610.04191

- Correct for detector effects and acceptances
- Softer top p_T (CMS), agreement in ATLAS at high p_T
 - Due to momentum reshuffling, P.Nason, cern.ch/event/301787
 - FSR shower changes mass of final state partons. light partons can build sizeable mass, and t/tbar do not radiate
 - short term solution: consider difference as uncertainty
- Impact on ttH/SUSY/etc searches, tails of ttbar events
- Measure ttbar invariant mass
 - Rate/shape reproduced within uncertainties



60

Summary

- Introduction on top quark
- Basic concepts on production and decays
- Cross section measurements and relevance to BSM searches

Next lecture: "Top quarks as probe to New Physics"